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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/586,459	08/02/2007	Peter Habermeyer	635.46315X00	4231	
20457 7550 (2008) ANTONELL, TERRY, STOUT & KRAUS, LLP 1300 NORTH SEVENTEENTH STREET			EXAM	EXAMINER	
			STEWART, JASON	STEWART, JASON-DENNIS NEILKEN	
SUITE 1800 ARLINGTON	VA 22209-3873		ART UNIT	PAPER NUMBER	
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Application No. Applicant(s) 10/586,459 HABERMEYER ET AL. Office Action Summary Examiner Art Unit

	JASON-DENNIS STEWART	3738				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely fitted after SIX (6) MONTHS from the mailing date of this communication.  If NO period or reply is specified above, the miscums statutory period will apply and the capture to reply within the set or extended period for reply with principle of the communication.  Failure to reply within the set or extended period for reply with the capture of the communication of the communication. The communication is the communication of						
Status						
1) ☐ Responsive to communication(s) filed on 14 Nc  2a) ☐ This action is FINAL. 2b) ☐ This  3) ☐ Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final. ace except for formal matters, pro		e merits is			
Disposition of Claims						
4) Claim(s) 1.4-8 and 11 is/are pending in the app 4a) Of the above claim(s) is/are withdrav 5) Claim(s) is/are allowed. 6) Claim(s) 1.4-8. and 11 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or	vn from consideration.					
Application Papers						
9) The specification is objected to by the Examine  10) The drawing(s) filed on is/are: a) acc  Applicant may not request that any objection to the  Replacement drawing sheet(s) including the correct  11) The oath or declaration is objected to by the Ex	epted or b) objected to by the l drawing(s) be held in abeyance. See on is required if the drawing(s) is obj	e 37 CFR 1.85(a). jected to. See 37 C				
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:  1. Certified copies of the priority documents 2. Certified copies of the priority documents 3. Copies of the certified copies of the prior application from the International Bureau * See the attached detailed Office action for a list	s have been received. s have been received in Applicati ity documents have been receive (PCT Rule 17.2(a)).	on No ed in this National	Stage			
Attachment(s)						

Attachment(s)		
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patient Drawing Review (PTO-948) 3) Information Tischoser-Statement(s) (PTO/95608) Paper No(s)/Mail Date	4) Interview Summary (PTO-413) Paper No(s)Mail Date. 5) Actine of Informat Pater Lépplication 6) Other:	

## DETAILED ACTION

The following is a Non-Final Office action in response to communications received on 11/14/08. Claims 2, 3, 9, 10 and 12-16 have been cancelled. Claims 1, 6-8, and 11 have been amended. Therefore, Claims 1, 4-8, and 11 are pending and addressed below.

## Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 11/14/2008 has been entered.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made. Application/Control Number: 10/586,459

Art Unit: 3738

 Claims 1 and 4-7 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tomier 2003/0149485 in view of Guederian et al. 2004/0059424 in view of Nicol et al. 7,097,663

4. Regarding Claim 1 Tornier illustrates at least a two-piece humeral prosthesis with a joint head 1 and three part design attachment 2, 3, 4 with a disk-like portion having a medial hole 25 (fig. 1) making the attachment hollow. Tornier further illustrates a circular collar 23 around the medial hole. The phrase "to effect an at least cement-free anchoring attachment" is seen as an intended use limitation being that all structure is present in the prior art invention. Tornier, discloses a shank with grooves for attachment, however, Tornier does not disclose a screw for attachment.

Guederian illustrates a hollow screw 8, and at least a two part design for an attachment part (Fig. 4) of a prosthesis including a circular collar 200 with projections on a first side (Fig. 1).

It would have been obvious to one of ordinary skill of the art to modify the device of Tornier with hollow screw of the Guederian because screws are a means for attachment commonly known to a skilled artisan in manufacturing and in order to provide bone growth into the screw as taught by Guederian (paragraph 19).

Tornier in view of Guederian discloses the invention as claimed and as discussed above. However, Tornier in view of Guederian does not disclose a positioning body with a second side having a relatively smaller diameter and at least nearly circular projecting collar projecting outwardly from the second side.

Application/Control Number: 10/586,459

Art Unit: 3738

Nicol discloses a positioning body 126 that has a second side with a relatively smaller diameter and at least nearly circular projecting collar that is inserted into to humeral head 102 (Fig. 7).

It would have been obvious to one or ordinary skill in the art at the time of the invention to modify the invention of Tornier in view of Guederian in order to effectively lock and secure the collar to the joint head component.

- Regarding Claim 4, Tomier illustrates a joint head with a nearly spherical surface and an opening with an angle alpha less than 180 degrees (Fig. 1).
- Regarding Claim 5, Tornier illustrates a circular support surface 23 with a conical support edge that rests on support edge (fig. 5).
- Regarding Claim 6, Tornier illustrates a hollow receiver 11 on the side opposite the nearly spherical surface (fig. 1).
- Regarding Claim 7, Tornier illustrates a slightly conical receiver wall 12 and a slightly conical external collar surface 24 (fig. 3).
- Claim 8 is rejected under 35 U.S.C. 103(a) as being anticipated by Guederian et al. 2004/0059424 in view of Nicol et al. 7,097,663 further in view of Keynan 2003/0050704

Guederian discloses a method of fitting a shoulder prosthesis comprising fitting the attachment part on previously prepared bone with the use of projections 209 and 214, after which anchoring body if affixed to the bone by hollow screw 8 through a

Application/Control Number: 10/586,459

Art Unit: 3738

medial hole 206 in the positioning body (paragraphs 15 – 20). Guederian also discloses a collar 203 which serves to abut component 100. It should be noted that phrase "Method of fitting ..., ...attachment body within the bone" is in the preamble of the method claim and do not provide any specific method steps and thus is given limited patentable weight. However, Guederian does not disclose a positioning body with a second side having a relatively smaller diameter and at least nearly circular projecting collar projecting outwardly from the second side.

Nicol discloses a positioning body **126** that has a second side with a relatively smaller diameter and at least nearly circular projecting collar that is inserted into to humeral head **102** (Fig. 7).

It would have been obvious to one or ordinary skill in the art at the time of the invention to modify the invention of Guederian in order to effectively lock and secure the collar to the joint head component.

Guederian in view of Nicol discloses the invention as claimed and as discussed above. However, Guederian in view of Nicol does not positively claim the step of implanting an attachment body of a humerus without cement.

Keynan discloses implanting a humeral attachment piece to a prepared humerus without the use of bone cement (paragraph 12).

It would have been obvious to one of ordinary skill in the art at the time of the invention to modify the method of Guederian in view of Nicol by implanting a piece into a humerus without bone cement in order to prevent any possible inflammatory responses or other adverse reactions using

Art Unit: 3738

10. Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over

Guederian et al. 2004/0059424 in view of Nicol et al. 7,097,663 in view of Keynan

2003/0050704 further in view of Tornier 2003/0149485.

11. Guederian in view of Nicol in view of Keynan discloses the invention as claimed

and as discussed above. However, Guederian does not disclose a hollow receiver with

a slightly conically shaped wall, a collar with a slightly conically shaped edge, and an

external force used to fix the attachment body.

Tornier illustrates a slightly conical receiver wall 12 and a slightly conical external

collar surface 24 (fig. 3) for the purpose of mating the joint head and the anchoring

means as well as a force (E1) used to fix the parts of the prosthesis together.

It would have been obvious one of ordinary skill in the art at the time of the

invention to modify the method of Guederian with the steps of Tornier in order to

securely fix the femoral head to the anchoring means during surgery.

Response to Arguments

12. Applicant's arguments with respect to claims have been considered but are moot

in view of the new ground(s) of rejection.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to JASON-DENNIS STEWART whose telephone number

Art Unit: 3738

is (571)270-3080. The examiner can normally be reached on M-F (alt Fridays off) 7:30-5:00 EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Corrine McDermott can be reached on (571)272-4754. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Jason-Dennis Stewart/ Examiner, Art Unit 3738

/Brian E Pellegrino/ Primary Examiner, Art Unit 3738